

July 19th 2019

NBFIRA 3/3/5-I (29)

To: AML/CFT Compliance Officers / Principal Officers - Non-Bank Financial Institutions (“NBFIs”)

Dear Sir/Madam,

REMOVALS: THE UNITED NATIONS SECURITY COUNCIL IRAQ SANCTIONS LIST

1. We refer to the captioned subject matter.
2. Botswana has an obligation to support international efforts to prevent, suppress and disrupt money laundering, proliferation of weapons of mass destruction and the financing of terrorism.
3. In furtherance of the above, regulated entities (**referred to as specified parties under the Financial Intelligence Amendment Act 2018**) are required to search their databases for any existence, assets, dealings or relationship with the listed entities from the United Nations Security Council (“UNSC”) sanctions lists and report any **positive matches, near matches or no matches** to the **Financial Intelligence Agency (FIA) without delay**.
4. Attached hereto, is the latest update containing some removals from the United Nations Security Council Iraq Sanctions List as of July 19th 2019.
5. Note that all regulated entities are therefore required to immediately search their databases upon receipt of this circular and report accordingly to the Financial Intelligence Agency. **To make these reporting arrangements, entities are kindly advised to contact FIA at: 3998402.**
6. Kindly note that this list and any that have been disseminated before this one are in full force and effect until the UNSC advises otherwise. Regulated entities are therefore expected to monitor and be on high alert for any future dealings or relationships with the listed entities and/or individuals of all circulars that have been disseminated to date and are responsible to continuously and timeously familiarize themselves with updates made by the UNSC and the Financial Action Task Force (“FATF”) in their respective websites.
7. In addition, kindly view the FATF website at <http://www.fatf-gafi.org/countries/#high-risk> for updates on high risk and non-co-operative jurisdictions. Regulated entities are required to apply enhanced due diligence to business relationships and transactions with individuals from high risk and non-co-operative jurisdictions.
8. Regulated entities that provide products or services to the listed individuals, entities and other groups as a result of failing to implement adequate screening measures **not only commit an offence**

in accordance with section 9 of the Financial Intelligence (Amendment) Act but also expose themselves to an extremely high risk of terrorist financing and/or advancing weapons proliferation objectives.

9. Please find attached an acknowledgement form which must further be filled in by all regulated entities and returned back to NBFIRA on/before July 26th, 2019. For any clarity on the above, kindly contact us at 310 2595 or 368 6100.

Yours faithfully



Motsisi Mongati

Head - AML/CFT Department

NON-BANK FINANCIAL INSTITUTIONS REGULATORY
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REFERENCE: SCA/12/19 (07)

The Chair of the Security Council Committee established pursuant to resolution 1518 (2003) presents her compliments to the Permanent Representatives of Member States and to the Permanent Observers of Non-Member States to the United Nations and has the honour to refer to the List of Individuals and Entities subject to the measures imposed by paragraphs 19 and 23 of resolution 1483 (2003).

The Chair wishes to note that on 24 June 2019, the Committee removed the following entities from its List of Individuals and Entities:

B. Entities and other groups

IQe.015 Name: AUTOMOBILE STATE ENTERPRISE

A.k.a.: na F.k.a.: na Address: Near Andulus Square, off Nidal Street, P.O. Box 3270, Bagdad, Bagdad, Iraq Listed on: 26 Apr. 2004 Other Information:

IQe.026 Name: DIRECTORATE GENERAL OF MEDICAL SUPPLIES

A.k.a.: Directorate General of Medical Appliances F.k.a.: na Address: a) P.O. Box 17041, Baghdad, Baghdad, Iraq b) P.O. Box 17014, Al-Hurriya, Baghdad, Baghdad, Iraq Listed on: 26 Apr. 2004 Other information:

IQe.029 Name: DIRECTORATE OF TRANSFORMERS PROJECT

A.k.a.: na F.k.a.: na Address: P.O. Box 21, Baquba, Diala, Iraq Listed on: 26 Apr. 2004 Other information:

IQe.056 Name: IRAQI COMPANY FOR CARTON MANUFACTURIES

A.k.a.: na F.k.a.: na Address: P.O. Box 29029, Za'Faraniya, Baghdad, Iraq Listed on: 26 Apr. 2004 Other information:

IQe.062 Name: IRAQI REFRESHMENT COMPANY

A.k.a.: na F.k.a.: na Address: P.O. Box 2339, Alwiyah, Za'Faraniya, Industrial Area, Baghdad, Iraq Listed on: 26 Apr. 2004 Other information:

IQe.075 Name: MISHRAQ SULPHUR STATE ENTERPRISE
A.k.a.: na F.k.a.: na Address: P.O. Box 54, Al Ishraq-Ninawa, Mosul, Iraq
Listed on: 26 Apr. 2004 Other information:

IQe.095 Name: NORTHERN CEMENT STATE ENTERPRISE
A.k.a.: na F.k.a.: na Address: P.O. Box 1, Sulaimaniyah, Iraq Listed on: 26
Apr. 2004 Other information:

IQe.106 Name: STATE BATTERY MANUFACTURING
ESTABLISHMENT
A.k.a.: STATE BATTERY MANUFACTURING ENTERPRISE F.k.a.: na
Address: P.O. Box 190, Al-Waziriyah, Safi El-Din, Al-Hilli St., Baghdad,
Iraq Listed on: 26 Apr. 2004 Other information:

IQe.107 Name: STATE COMPANY FOR PLASTIC BAGS INDUSTRIES IN
TIKRIT
A.k.a.: na F.k.a.: na Address: P.O. Box 12, Muhafadha Salah Aldin, Tikrit,
Iraq Listed on: 26 Apr. 2004 Other information:

IQe.128 Name: STATE ENTERPRISE FOR GLASS AND CERAMIC
INDUSTRIES
A.k.a.: na F.k.a.: na Address: Ramadi, Al Anbar, Iraq Listed on: 26 Apr.
2004 Other information:

IQe.140 Name: STATE ENTERPRISE FOR RAW BUILDING MATERIALS
A.k.a.: na F.k.a.: na Address: P.O. Box 5890, Alwiya, near Unknown Soldier,
Saadoun Street, Baghdad, Iraq Listed on: 26 Apr. 2004 Other information:

IQe.146 Name: STATE ENTERPRISE FOR WOOD INDUSTRIES
A.k.a.: na F.k.a.: na Address: a) Abu Sukhair, P.O. Box 20, Najaf, Iraq b)
Manadhira, Al-Najaf, Iraq Listed on: 26 Apr. 2004 Other information:

IQe.172 Name: STATE ORGANIZATION FOR INDUSTRIAL
DEVELOPMENT
A.k.a.: na F.k.a.: na Address: Khullani Square, Khulafa St., Baghdad, Iraq
Listed on: 26 Apr. 2004 Other information:

IQe.176 Name: STATE ORGANIZATION FOR ROADS AND BRIDGES
A.k.a.: a) STATE ESTABLISHMENT OF BRIDGES CONSTRUCTION b)
STATE ESTABLISHMENT FOR MIDDLE AREA (ROADS c) STATE
ESTABLISHMENT OF CONSTRUCTION OF ROADS (SOUTHERN
AREA) d) STATE ESTABLISHMENT OF CONSTRUCTION OF ROADS
(NORTHERN AREA e) STATE ESTABLISHMENT OF CONSTRUCTION
OF ROADS (MIDDLE AREA AROUND ELPHURATE f) STATE
ESTABLISHMENT OF EXPRESSWAY ROADS F.k.a.: na Address: a)
Karradat Mariam, Karkh, P.O. Box 917, Baghdad, Iraq b) Nassiriyah, Iraq c)
Kirkuk, Iraq d) Hilla, Iraq e) Yousufia, Iraq Listed on: 26 Apr. 2004 Other
information:

IQe.181 Name: STATE ORGANIZATION FOR MINERALS
A.k.a.: na F.k.a.: na Address: P.O. Box 2330, Sa'doon Street, Baghdad, Iraq
Listed on: 26 Apr. 2004 Other information:

IQe.023 Name: DIRECTORATE GENERAL OF GENERATION AND TRANSMISSION OF ELECTRICITY
A.k.a.: na F.k.a.: na Address: P.O. Box 1058, Al-Masbah, Building 4/356, Baghdad, Baghdad, Iraq
Listed on: 26 Apr. 2004 Other information:

IQe.081 Name: NASSIRIYAH THERMAL POWER STATION
A.k.a.: na F.k.a.: na Address: P.O. Box 31, Nassiriyah, Iraq
Listed on: 26 Apr. 2004 Other information:

The names of individuals and entities removed from Committee's Sanctions List pursuant to a decision by the Committee may be found in the "Press Releases" section on the Committee's website at:

<https://www.un.org/securitycouncil/sanctions/1518/press-releases>.

To obtain a fully updated version of the List of individuals and entities subject to the sanctions measures, Member States are encouraged to consult, on a regular basis, the Committee's website at the following URL:

<https://www.un.org/securitycouncil/sanctions/1518/materials>.

The Committee's Sanctions List is available in HTML, PDF and XML format.

The United Nations Security Council Consolidated List is also updated following all changes made to the Committee's Sanctions List. An updated version of the Consolidated List is accessible via the following URL:

<https://www.un.org/securitycouncil/content/m-sc-consolidated-list>

24 June 2019