

Form 7 Application for Renewal of Insurance Broker/Insurance Agent Licence (*regulation 15(1*))

To:-The Chief Executive Officer Non-Bank Financial Institutions Regulatory Authority Private Bag 00314 Gaborone Botswana

Dear Sir/Madam,

INSURANCE INDUSTRY ACT (Cap 46:01)

1.	I/We apply for renewal of licence of
2.	Indicate whether the intermediary is an agent or broker:
3.	The physical address of the registered office of the company is:
4.	The addresses of the head office of the company are:- a.) Physical address
	b.) Mailing address
5.	The Principal Officer's name and title are:(1)
6.	The amount of paid up capital (excluding debentures, preference shares, non-voting shares and paid-up capital subscribed directly or indirectly in itself) of the company is:
7.	The guarantee held by under the direction of the Regulatory Authority [Regulation 25 (1)] is(3)
8.	The company's Professional Indemnity Insurance is carried by

9. The company <u>is/is not</u> covered by Fidelity Guarantee Insurance (delete as applicable). (5)

Documents to be attached to this application

- 1. Chart showing the organisational structure of the company, with names accompanying all management positions.
- 2. Certification from the company's auditors to substantiate the amount of paid-up capital.
- 3. Statement from the bank in confirmation this guarantee or cover note of a guarantee policy from an insurer as per no. 7. (*where applicable*)
- 4. Schedule or cover note from the insurer providing cover as per no. 8.
- 5. Schedule or cover note **if** Fidelity Guarantee Insurance is in place, as per no. 9.
- 6. List of all persons with whom the broker/agent had agency agreements, which were active during the year (ending with the expiry date of licence being renewed), including any cancellations/suspensions and the reasons for such cancellations/suspensions.
- 7. Confirmation of validity of agency agreement with insurer/broker (for corporate agencies)
- 8. Proof of payment/settlement of all outstanding supervisory levies.
- 9. Copy of previous licence.

I/We enclose proof of payment of the licence renewal fee.

Yours faithfully,

COMPANY SEAL

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Authorised Name & Signature