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CIRCULAR

TO: Brokers, Custodians and Asset Managers

FROM: The Non-Bank Financial Institutions Regulatory Authority

DATE: April 28, 2021

SUBJECT: TRANSFER OF POWERS TO APPROVE OFF-MARKET

TRANSACTIONS TO THE BOTSWANA STOCK EXCHANGE

LIMITED

 The Non-Bank Financial Institutions Regulatory Authority (NBFIRA) informs all of you, the affected Non-Bank Financial Institutions, to submit off-market transactions directly to Botswana Stock Exchange Limited (BSEL) for processing and approval, effective May 1, 2021.

2. BSEL has been informed of the new development.

<u>THIEF EXECUTIVE OFFICER</u>

NON-BANK FINARCIAL INSTITUTIONS REQUIATORY

3RD AND 4TH FLOOR EXPONENTIAL BUILDING

PLOT SECTON VILL BUILDING

PHONE: #237 310 2595/-207 3686 100 FAX. #207 310 2373